



State of North Carolina

Department of the Secretary of State

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FOR IMMEDIATE RELEASE
December 5, 2011

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The North Carolina Department of the Secretary of State, Securities Division, joins states using BlueExpress

Electronic filing of investment company notice filings streamlines the filing process

The North Carolina Department of the Secretary of State, Securities Division, is utilizing BlueExpress for investment company notice filings in a move expected to streamline the Division's filing process.

BlueExpress is an application that facilitates the transfer of investment company notice filing data from a filer's computer to the state, and was developed to simplify the investment company notice filing process.

With the system, a State agency computer can store the filings in the state's database and return a confirmation to the filer that their data was received. Along with the elimination of paper filings and the resulting savings in processing and accounting costs, BlueExpress also consolidates filing packages and fee payments and simplifies accounting reconciliation. BlueExpress will allow the State to process an increased workload using existing staff.

The Securities Division will continue to accept paper filings from filers who choose to submit paper filings.

The Division anticipates that this service will be greatly appreciated by the many investment companies who do business in the State.